



The Abdus Salam  
**International Centre  
for Theoretical Physics**

Random Matrices, Integrability and  
Complex Systems ,  
Yad Hashmona, Israel  
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# NON-ERGODIC EXTENDED PHASE IN GENERALIZED ROSENZWEIG-PORTER RMT

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ICTP, Trieste

**NJP 17, 12202, (2015),**  
**[arXiv: 1805.06472,](#)**  
**[arXiv: 1810.01492](#)**

## Collaboration:

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# Rosenzweig-Porter RMT

$$\langle H_{nm} \rangle = 0$$

$$\sigma = \frac{\lambda^2}{N^\gamma} \ll 1$$

Special diagonal:  
Rosenzweig-  
Porter (1960)  
ensemble

$N \times N$   
matrix,  
uncorrelated  
random  
entries

$$\langle H_{nm}^2 \rangle = \begin{pmatrix} 1 & \sigma & \sigma & \sigma \\ \sigma & 1 & \sigma & \sigma \\ \sigma & \sigma & 1 & \sigma \\ \sigma & \sigma & \sigma & 1 \end{pmatrix}$$

Simplest non-invariant RMT

# Localization/delocalization sufficient conditions (for uncorrelated entries)

Convergence of Anderson's locator expansion

$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}| \rangle < \infty, \Rightarrow \text{localized}$$

$$\langle |H_{nm}| \rangle \square \delta \sim N^{-1}$$

$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \infty \Rightarrow \text{semicircle}, \Rightarrow \text{ergodic extended}$$

Mott's criterion  $V > W$

$$V = \sqrt{2S}$$

**RP:**  $\langle (H_{nn})^2 \rangle = W^2 = 1$

$$\langle |H_{n \neq m}|^2 \rangle = \frac{\lambda^2}{N^\gamma}$$

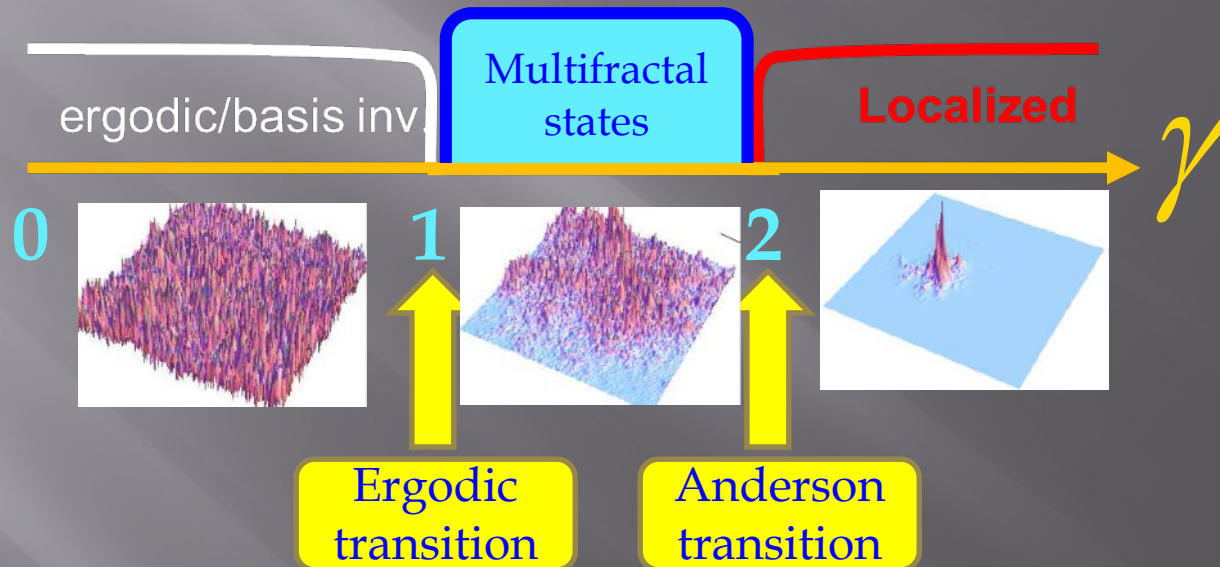
$$\rho_0 = \frac{\sqrt{2S - E^2}}{\pi S}, \quad \gamma < 1$$

$$S = \frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \lambda^2 N^{1-\gamma}$$



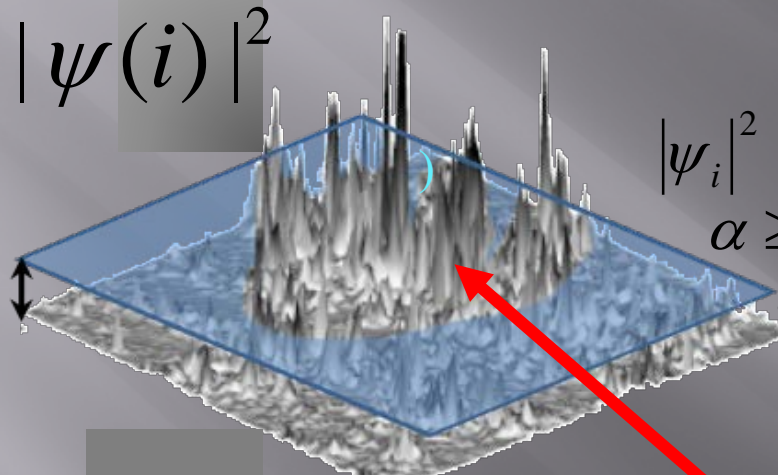
# Ergodic transition

V.E.K., I.M. Khaymovich, E. Cuevas, M. Amini,  
New J. Phys., v.17, 12202 (2015)



# Crash course on multifractality

$$|\psi(i)|^2$$



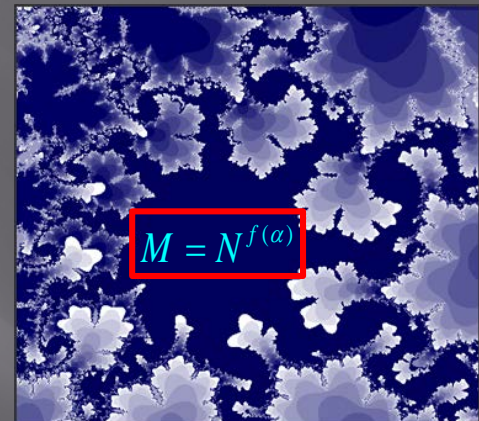
$N$  sites  $\{i\}$  in the sample

$M$  sites in this region

$$|\psi_i|^2 \leq 1$$

$$\alpha \geq 0$$

Map of the regions with amplitude larger than the chosen level

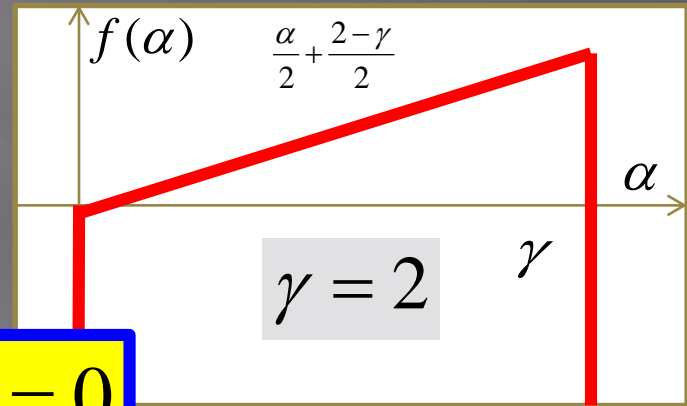
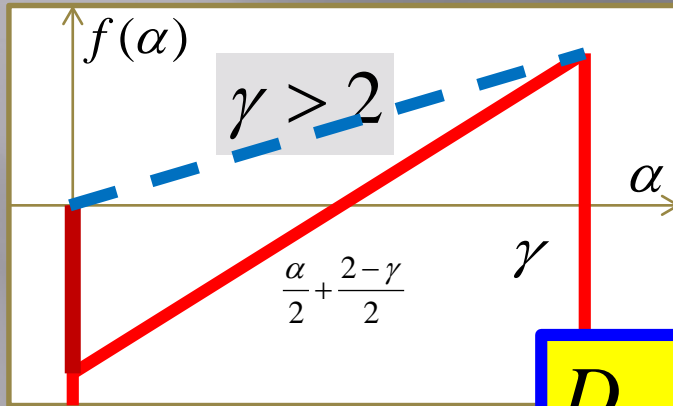


$$M = N^{f(\alpha)}$$

$$N^{-\alpha}$$

$$\sum_i |\psi(i)|^{2q} = \frac{C_q}{N^{D_q(q-1)}}$$

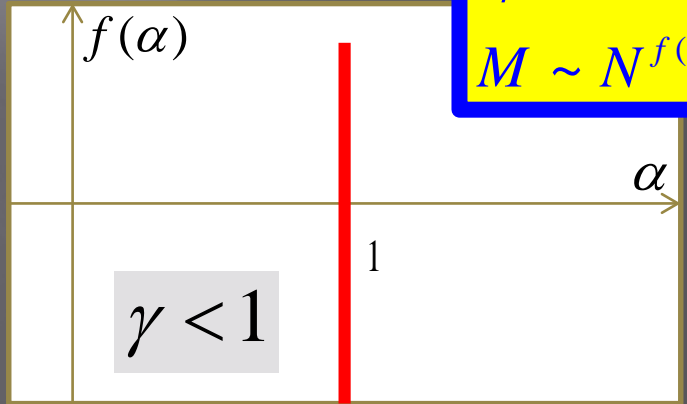
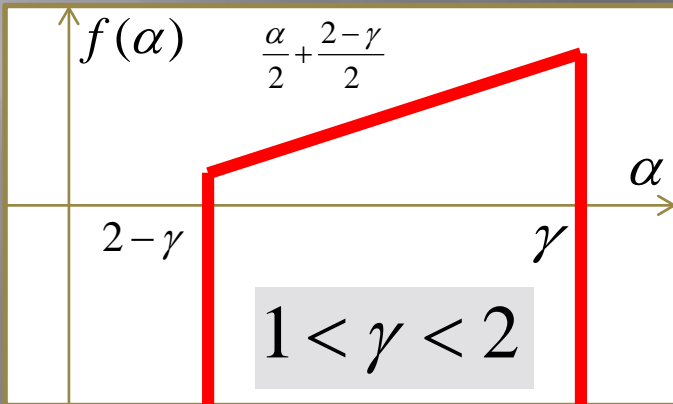
# Multifractality spectrum $f(\alpha)$



$$D_{q>1/2} = 0$$

$$|\psi|^2 \sim N^{-\alpha}$$

$$M \sim N^{f(\alpha)}$$



$$D_{q>1/2} = 2 - \gamma$$

Fractal dimension  
of wavefunction  
support set

$$D_q = 1$$

Existence of multifractal phase  
and ergodic transition in RP RMT  
is suggested (with the physical  
standard of rigor) in:

V.E.K., I.M. Khaymovich, E. Cuevas, M. Amini,  
New J. Phys., v.17, 12202 (2015)

and rigorously proven  
(on the level of a math theorem):

Per von Soosten and S. Warzel, Electron J.  
Probab. 23, 1 (2018).

arXiv: 1709.10313 [math-ph]

# Ansatz for random wave functions of Rosenzweig-Porter RMT

$$|\psi_n(i)|^2 = \frac{|H_{ni}|^2}{(E_n - E_i)^2 + \Gamma(N)^2}$$

$$\delta(N) = (\rho_0 N)^{-1}$$

$$\Gamma(N) = \begin{cases} \delta(N)N^D & \text{extended states} \\ \sqrt{\langle |H_{n \neq m}|^2 \rangle} & \text{localized states} \end{cases}$$

$$\rho_0 = p(E) \sim 1, \quad (\gamma > 1)$$

Semi  
-  
circle

$$\rho_0 = \frac{\sqrt{2S - E^2}}{\pi S}, \quad \gamma < 1$$

$$S = \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \lambda^2 N^{1-\gamma}$$

$$\Gamma(N) = \begin{cases} N^{\frac{1-\gamma}{2}}, & (\gamma < 1, \text{EE}) \\ N^{-(\gamma-1)}, & (1 < \gamma < 2, \text{NEE}) \\ N^{-\gamma/2}, & (\gamma > 2, \text{L}) \end{cases}$$

$$\Gamma \rightarrow \infty$$

$$\Gamma \rightarrow 0, \Gamma/\delta \rightarrow \infty$$

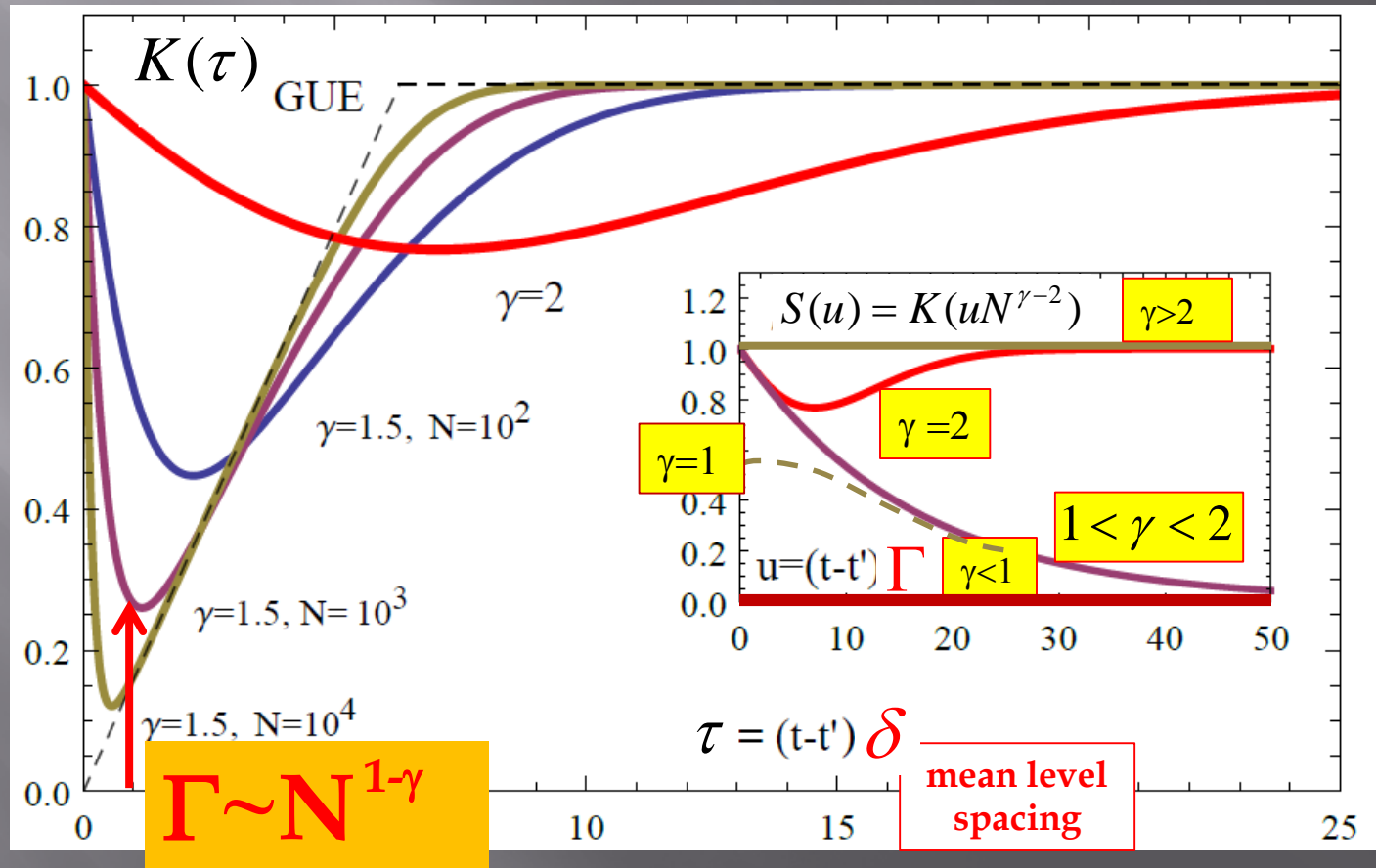
$$\Gamma \rightarrow 0, \Gamma/\delta \rightarrow 0$$



# Spectral form-factor and the 'hybrid' level statistics

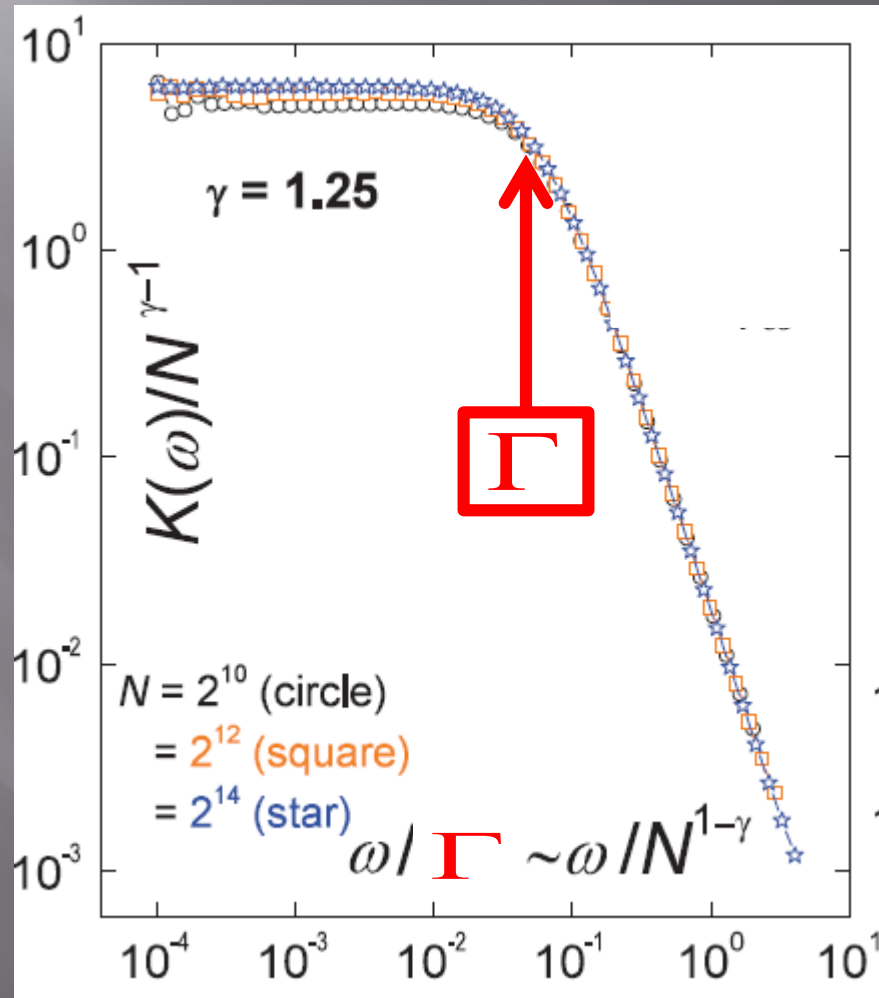
$$S(u) = 1 + e^{-2\pi\Lambda^2 u} e^{-\Lambda^2 u^2 N^{\gamma-2}} \left[ \frac{2I_1(\kappa u^{3/2})}{\kappa u^{3/2}} - \frac{1}{4\pi} \kappa u^{5/2} N^{\gamma-2} \int_0^\infty \frac{x dx}{\sqrt{x+1}} I_1(\kappa u^{3/2} \sqrt{x+1}) e^{-x u^2 \Lambda^2 N^{\gamma-2}} \right]$$

$$\kappa = \sqrt{8\pi N^{\gamma-2} \Lambda^2} \text{ and } \Lambda = \lambda p(0)$$



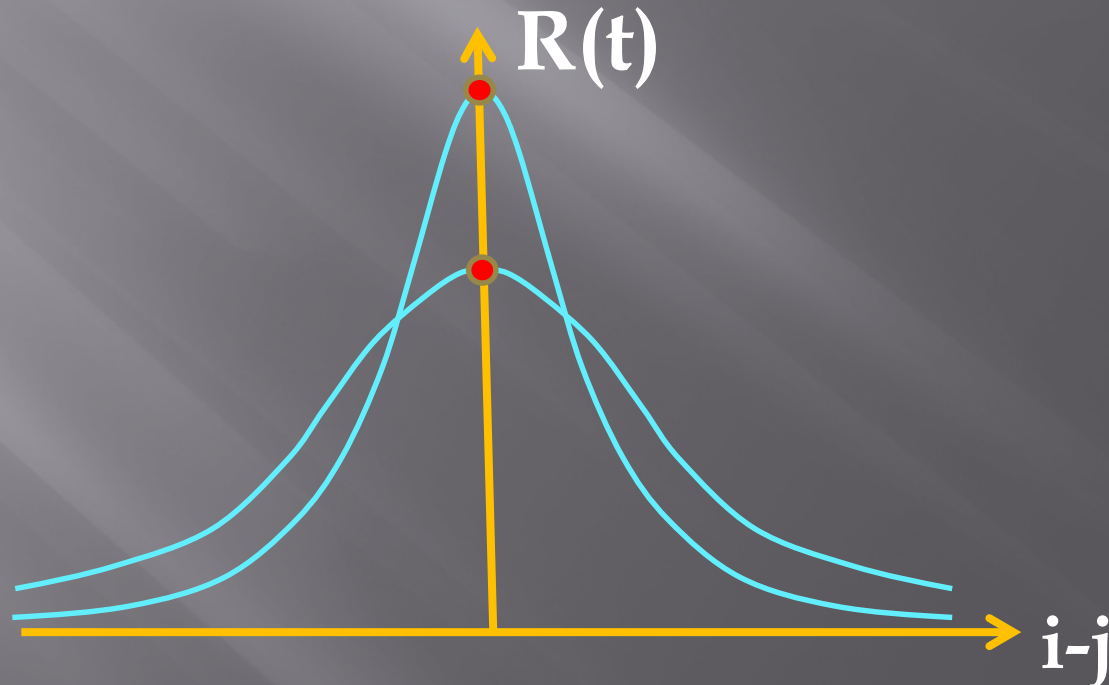
# How to detect the new scale $\Gamma$ ?

$$K(\omega) = \sum_{\alpha, \beta} |\psi_{\alpha}(i)|^2 |\psi_{\beta}(i)|^2 \delta(\omega - E_{\alpha} + E_{\beta})$$

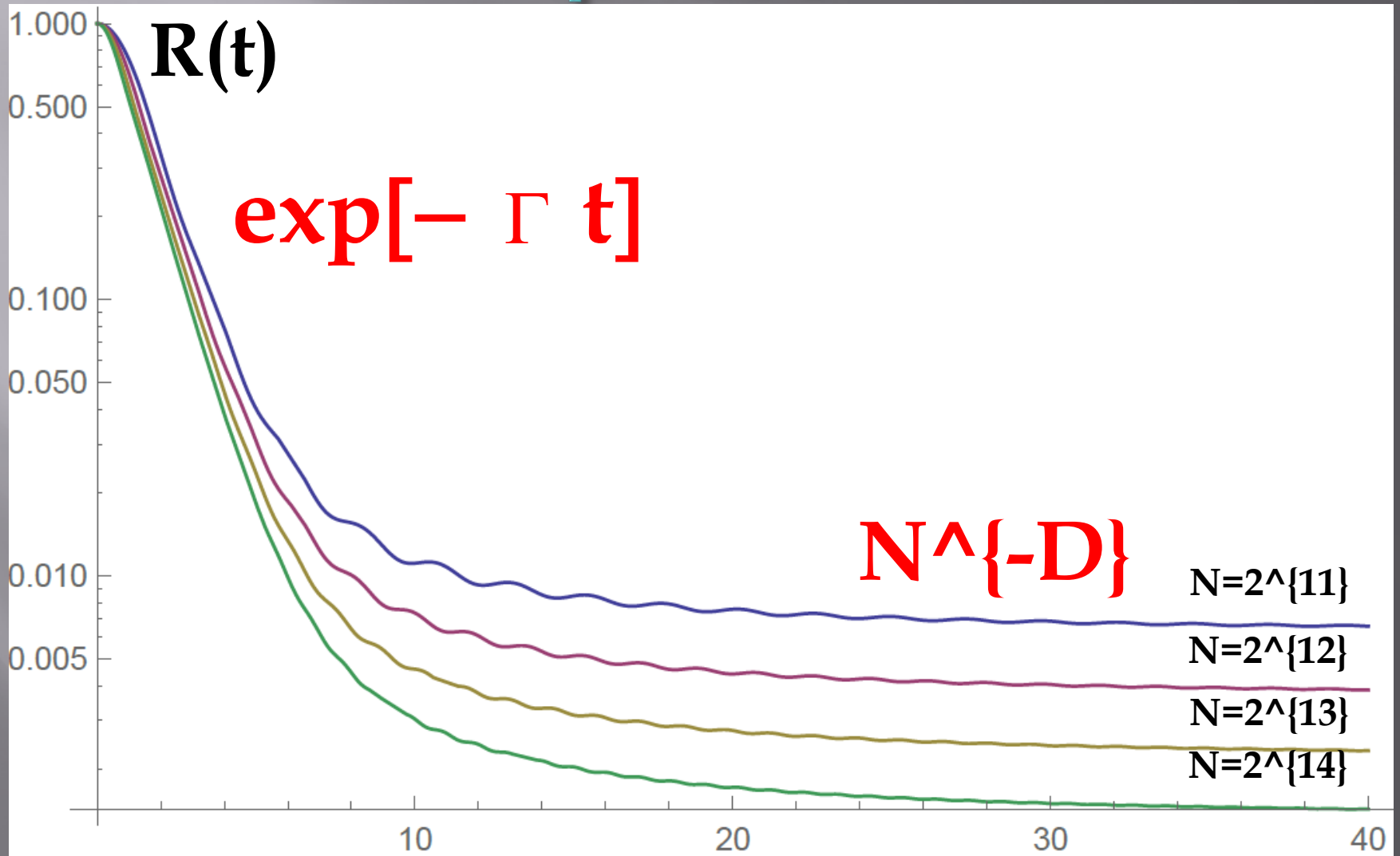


# More informative Fourier transform: Survival Probability

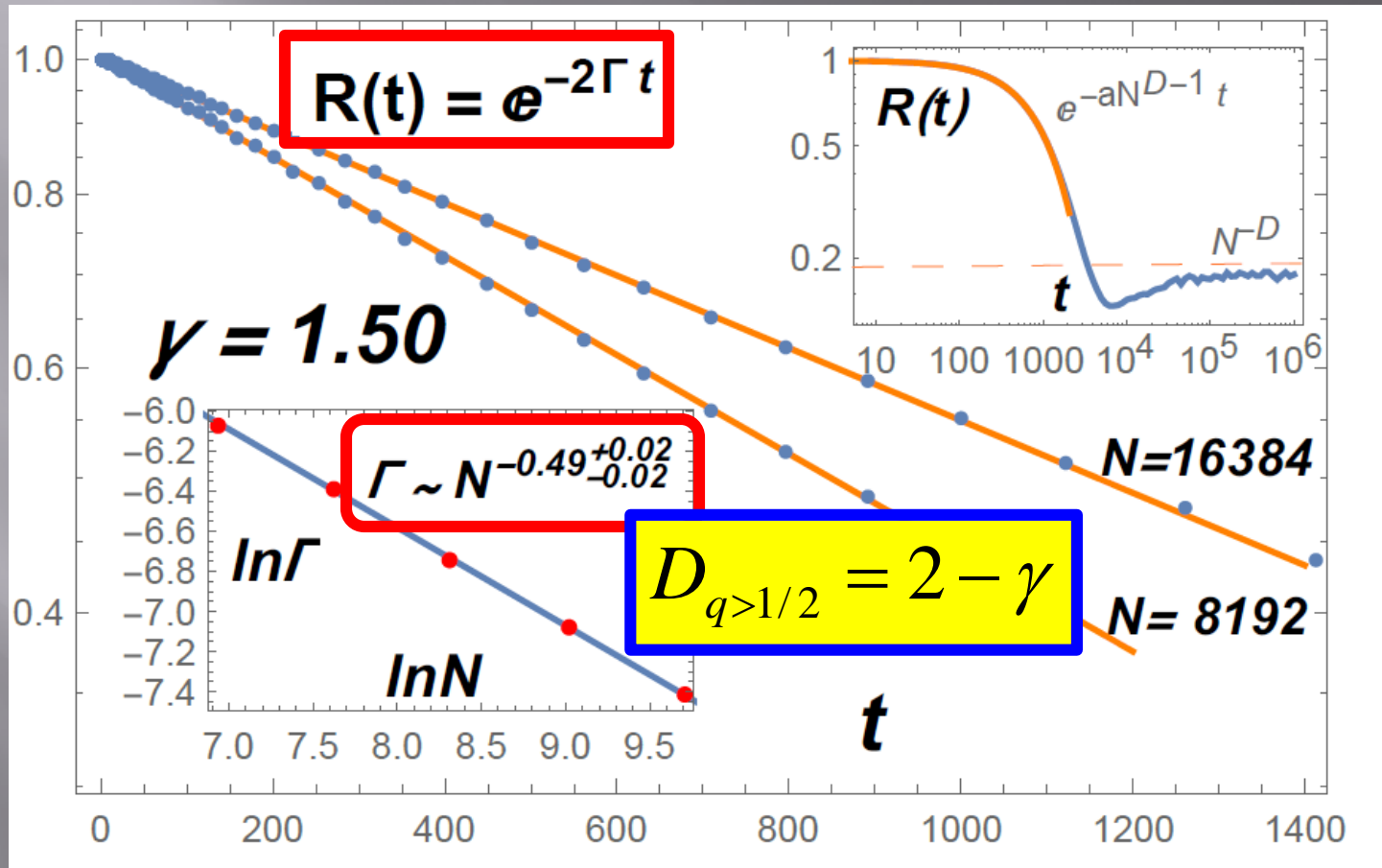
$$R(t) = |\langle \Psi(0) | \Psi(t) \rangle|^2$$
$$= \sum_{\alpha, \beta} |\psi_{\alpha}(i)|^2 |\psi_{\beta}(i)|^2 \exp[i(E_{\alpha} - E_{\beta})t]$$



# Survival Probability in MF phase



# Survival probability in NEE phase



# What about correlated hopping terms?

Exactly soluble: all states are localized ( $\gamma > 2$ ) or critically localized ( $\gamma \leq 2$ )

Yuzbashyan-Shastry (YS) model

$$H_{n \neq m} = g_n g_m^*$$

$$g_n = \frac{1}{N^{\gamma/2}}$$

$$\Psi_E(i) = c \frac{g_i}{E - \varepsilon_i}$$

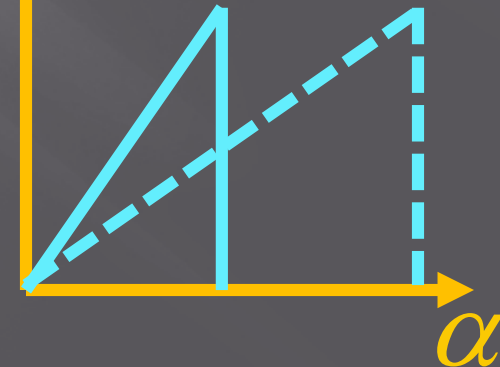
$$\sum_{i=0}^{N-1} \frac{g_i^2}{E - \varepsilon_i} = -1$$

$$|\psi|^2 \sim N^{-\alpha}$$

$$M \sim N^{f(\alpha)}$$

$f(\alpha)$

$$|\psi|_{typ}^2 \sim N^{-\max\{\gamma, 2\}}$$



R. Modak, S. Mukerjee, E. A. Yuzbashyan, and B. S. Shastry, New J. Phys. **18**, 033010 (2016).

H. K. Owusu, K. Wagh, and E. A. Yuzbashyan, J. Phys. A: Math. Theor. **42**, 035206 (2009).

A. Ossipov, J. Phys. A **46**, 105001 (2013).

G. L. Celardo, R. Kaiser, and F. Borgonovi, Phys. Rev. B **94**, 144206 (2016).

X. Deng, V. E. Kravtsov, G. V. Shlyapnikov, and L. Santos, Phys. Rev. Lett. **120**, 110602 (2018).

# Why no delocalized states?

Convergence of Anderson's locator expansion

$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}| \rangle < \infty, \Rightarrow \text{localized}$$

$$\langle |H_{nm}| \rangle \propto \delta \sim N^{-1}$$

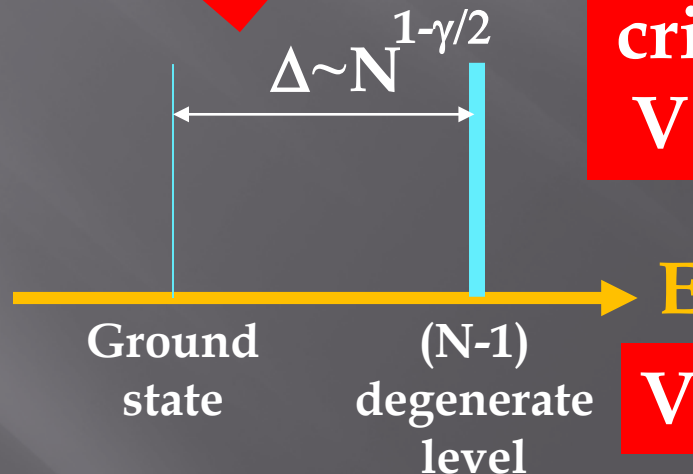
$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \infty \Rightarrow$$

Not valid for correlated entries

ergodic extended

However, Mott's criterion is valid!

Mott's criterion  
 $V > W = 1$



$V=0$

# Not only YS!

## No delocalized states also for deterministic power-law hopping!

$$H_{nm} = J |n - m|^{-a}$$

**Even for  $a < 1$**

P. Nosov, I.M.Khaymovich and V.E.K.

[arXiv: 1810.01492](https://arxiv.org/abs/1810.01492)

X. Deng, V.E.K. G. Shlyapnikov, L. Santos,  
Phy. Rev. Lett. **120**, 110602 (2018)

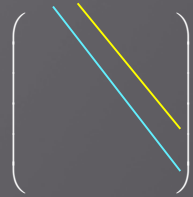


# Translation-invariant RP model: correlation along the (non-principle )diagonal

$$H_{n \neq m} = H_{n-m}$$

$$\langle H_{n-m} \rangle = 0,$$

$$\langle |H_{n-m}|^2 \rangle = N^{-\gamma}$$

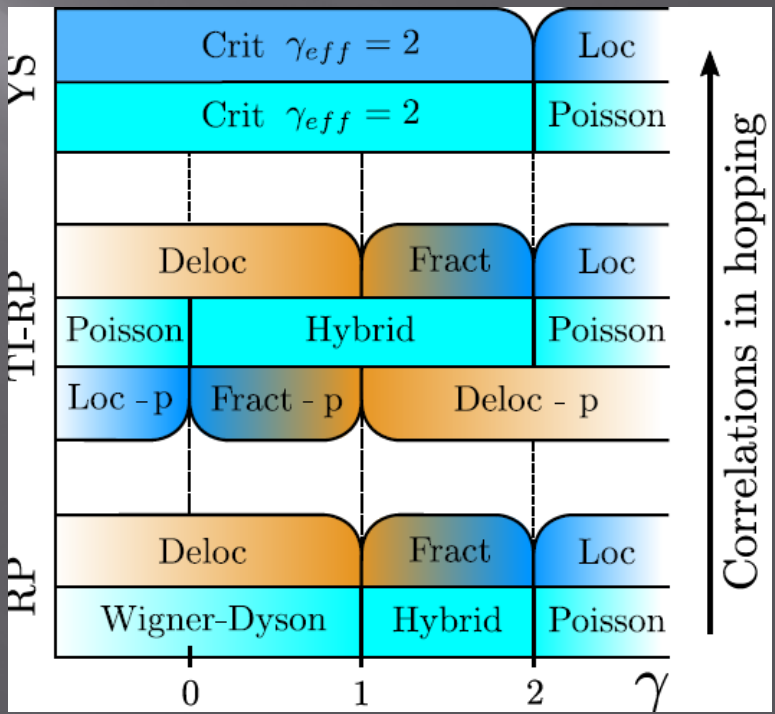


Correlated along the diagonal, Uncorrelated with zero mean between the diagonals

P. Nosov, I.M.Khaymovich and V.E.K.

[arXiv: 1810.01492](https://arxiv.org/abs/1810.01492)

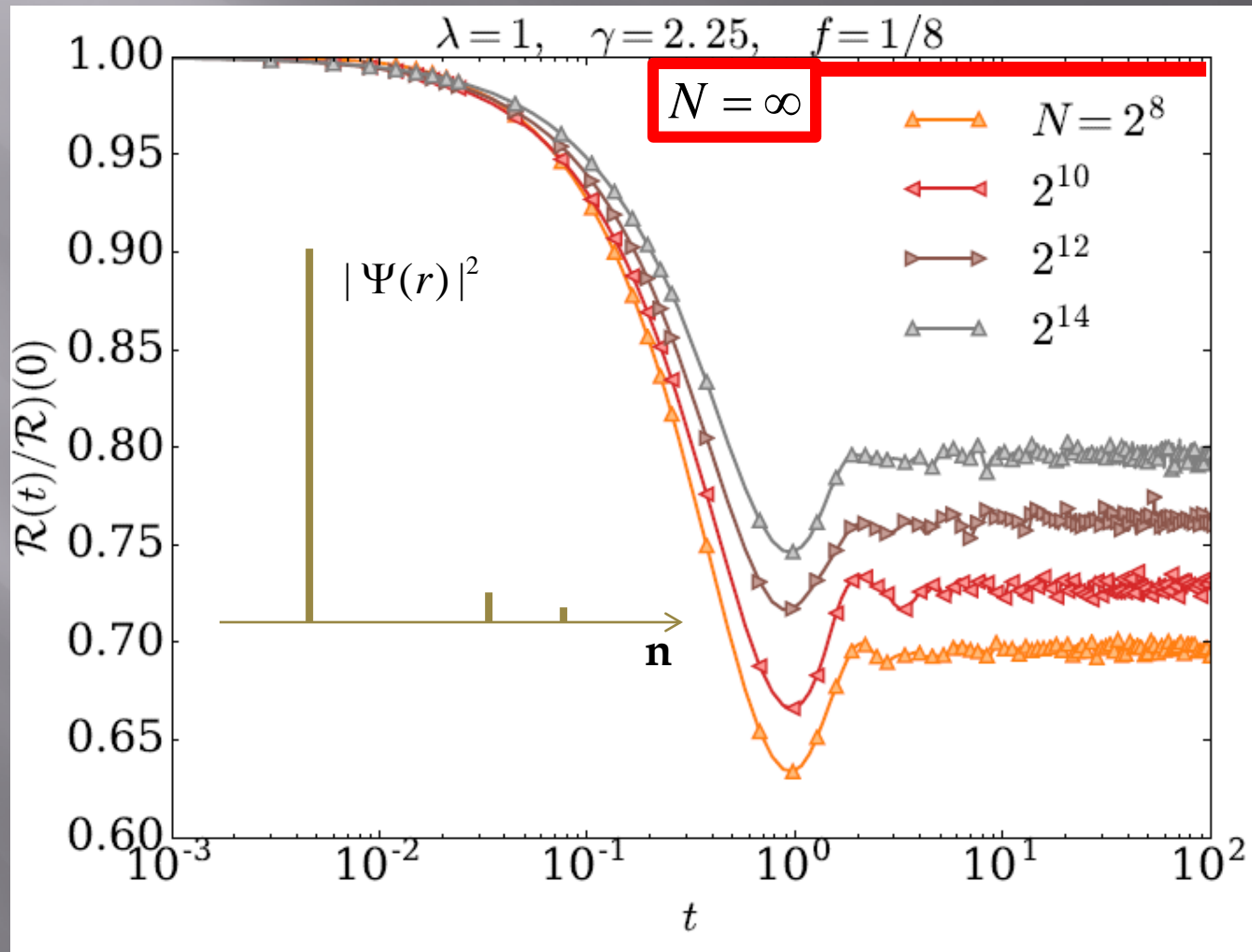
- ▷ Lack of correlations between diagonals destroy localization in the coordinate space for  $\gamma < 2$ .
- ▷ Localization and multifractality in the momentum space
 
$$\gamma_p = 2 - \gamma$$
- ▷ Poisson and 'hybrid' level statistics in the delocalized phase



# Conclusion

- ▣ Two extended phases and ergodic transition in RP RMT
- ▣ Ansatz for random wave functions of RP RMT and survival probability
- ▣ 'Hybrid' level statistics
- ▣ Localization in YS exactly solvable model: RP with fully correlated hopping
- ▣ Translation-invariant TI-RP: localization and multifractality in the momentum space; Poisson and 'hybrid' level statistics in delocalized phase

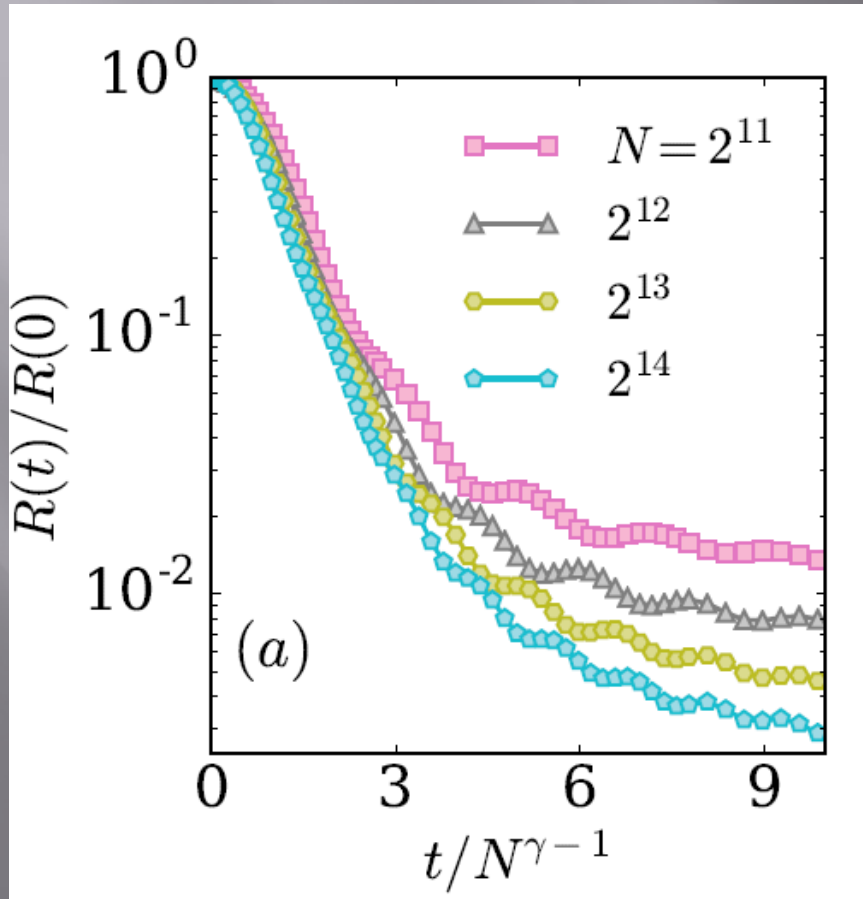
# Survival probability in the localized phase of RP



# Oscillations in NEE phase

$$P(t) - P(\infty) \propto e^{-2\pi|k|} + \frac{1}{2c(\pi k)^2} - \frac{\cos(2\pi kc)}{2(\pi k)^2 c(c^2 + 1)}$$

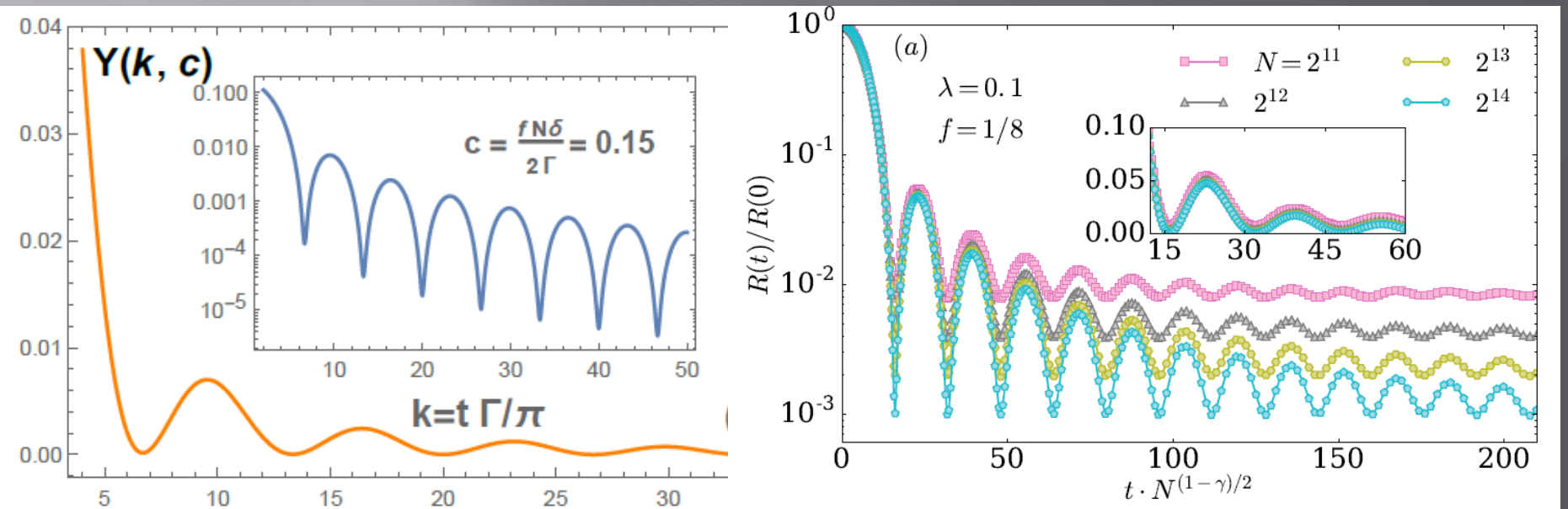
$$k = \frac{t\Gamma}{\pi}$$



$$c \propto \begin{cases} 1, & \text{ergodic} \\ N^{\gamma-1}, & \text{NEE} (1 < \gamma < 2) \end{cases}$$

**In NEE phase oscillations  
are a finite-size effect**

# Survival probability in EE phase



Oscillations in ergodic extended phase due to edge of DoS  
(semi-circle or finite fraction of states  $f$ )